11 South Meridian Street Indianapolis, Indiana 46204-3535 (317) 236-1313

Fax Number: (317) 231-7433

# FAX COVER SHEET

NAME

**COMPANY NAME** 

TELECOPY 1.
(571) 273-0459
FECEIVEL

9
AUG 2006

TO:

÷ .;

PCT LEGAL

United States Patent and Trademark Office

FROM:

Dilip A. KULKARNI, Attorney for Applicant

**DIRECT DIAL: (317) 231-7419** 

for Applicant

E-MAIL: Dilip.Kulkarni@htlaw.com

| Company | Compa

DATE:

09 August 2006

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 5

If you have difficulty receiving this Fax, please call Barnes & Thornburg at (Pitney Bowes 317-231-7410 and ask for

Re:

U.S. Application Serial No. 10/579,435

U.S. National Phase of PCT International Serial No.: PCT/CN2004/001304

International Filing Date: 15 November 2004 (15.11.04)

Applicant/Inventor: WANG, Zhengzhong

Title: CAB ROOF OF VEHICLE

Priority Claim: CN Serial No. 200310116713.6 Priority Date: 18 November 2003 (18.11.03)

Our File: 42505-200124

Attached is ASSERTION OF ENTITLEMENT TO SMALL ENEITY STATUS AND REQUEST FOR REFUND in duplicate. The original is being forwarded via Express Mail No. EV 633513137 US.

CLIENT#

42505

MATTER# 200124 ✓ Original to follow by mail

☐ Original will not follow by mail

CONFIDENTIALITY NOTICE: This message is for the exclusive use of the individual to the transportation it is addressed and is confidential. If you are not the addressee or an employee of agent of the addressee responsible for delivering it to the addressee, please do not read, use, disclose, copy or distribute this message and do not take any action in reliance upon it. If you have received this message in error, please notify us immediately by telephone (collect) to arrange for its return. We do not intend to waive any attorney-client or work product privilege by the transmission of this message.

Chicago

Elkhart

Fort Wayne

Grand Rapids

Indianapolis

South Bend

Washington, D.C.

Route to: Jean Eskridge

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

# IN THE UNITED STATES PATENT OFFICE

Priority Date:

18 November 2003 (18.11.03)

Application Serial 10/579,435

No.:

Invention:

CAB ROOF OF VEHICLE

Applicant:

WANG, Zhengzhong

International

16 May 2006 (16.05.06)

Filing Date:

Attorney

42505-200124

Docket:

PCT Application

PCT/CN2004/001304

Serial No.

or Fee))

<u>Jean</u>

Certificate of Facsimile Transmission

(Typed or Printed Name of Person Mailing Paper

Certificate Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service

under 37 CFR 1.10 on the date above and is addressed to the Commissioner for Patents, P.O. Box

Express Mail Label No. EV 633513137 US

1450, Alexandria, VA 22313-1450.

Date of Deposit: 09

(Signature)

<u>Mary</u>

I hereby certify that this correspondence is being facsimile transmitted to the Receiving Office of the United States of America at Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile no. (571) 273-0459

69 August 2006

Mary Jean Eskridge

(Typed or Printed Name of Person Transmitting

kean Capridge

Paper or F∞)

# ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND REQUEST FOR REFUND

Mail Stop PCT Attn: PCT Legal Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The captioned application was lodged on 15 May 2006 (15.05.06) with payment of fees as a large entity. Applicant of the captioned application is entitled to small entity status. The application was filed with Check No. 365587 in the amount of \$900.00 for the

A.k. Sa:

national fee and authorization for the Director to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435.

Attorney for Applicant hereby respectfully requests a refund of \$450.00 pursuant to 37 C.F.R. § 1.27(c), and § 1.28(a), the portion of the originally transmitted national fee in excess of that required for a small entity. Thus, an assertion of entitlement to small entity status and a request for refund are being made within three months of the payment of the above-identified fee under 37 C.F.R. § 1.28(a).

In view of the foregoing, Attorney for applicant requests that a check in the amount of \$450.00 be sent to applicant's attorneys at the address above, or credit that amount to Deposit Account No. 10-0435 using Attorney Docket No. 42505-200124. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dilip A. KULKARMI Registration No. 27510

Attorney for Applicant

DAK/mje/825285v1

Indianapolis, IN 46204 317-231-7419

**2**001

\*\*\*\*\*\*\*\*\*\*\*\* \*\*\* RX REPORT \*\*\* \*\*\*\*\*\*\*\*\*\*\*

RECEPTION OK

TX/RX NO

6315

RECIPIENT ADDRESS

3172317783

DESTINATION ID

ST. TIME

08/09 09:37

TIME USE PGS.

00'42

5

RESULT

OK

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

RECEIVED

9 AUG 2006

# IN THE UNITED STATES PATENT OFFICE

Earliest Priority

18 November 2003 (18.11.03)

Date:

International

PCT/CN2004/001304

Serial No.:

U.S. Serial No.: Unknown

Invention:

CAB ROOF OF VEHICLE

Applicant:

WANG, Zhengzhong

International

15 November 2004 (15.11.04)

Filing Date:

42505-200124

Attorney Docket:

**CERTIFICATE UNDER 37 C.F.R. § 1.10** 

MAIL STOP PCT Attention: LEGAL

Commissioner for Patents

P.O. Box 1450

Alexandria, VA. 22313-1450

Sir:

Express Mail" Mailing Label No.: EV 633513137 US

Date of Deposit: 09 August 2006 (09.08.06)

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.

Mary Lan Estruge

Mary Jean Eskridge

Indianapolis, Indiana (317) 231-7303

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

## IN THE UNITED STATES PATENT OFFICE

Priority Date:	18 November 2003 (18.11.03)	} Certificate Under 37 CFR 1.10
Application Serial	10/579,435	Express Mail Label No. <u>EV-633513137 US</u> Date of Deposit: <u>O 9 August 2006</u>
Invention: Applicant:	CAB ROOF OF VEHICLE WANG, Zhengzhong	I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
International Filing Date:	16 May 2006 (16.05.06)	} } Signature)  Mary Jan Csbridge
Attorney Docket:	42505-200124	Mary Jean Eskridge (Typed or Printed Name of Person Mailing Paper or Fee))
PCT Application Serial No.	PCT/CN2004/001304	Certificate of Facsimile Transmission  I hereby certify that this correspondence is being facsimile transmitted to the Receiving Office of the United States of America at Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile no. (571) 273-0459
		on 69 August 2006  Mary Jean Eskridge (Typed or Printed Name of Person Transmitting Paper or Fee)

# ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND REQUEST FOR REFUND

Mail Stop PCT Attn: PCT Legal Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The captioned application was lodged on 15 May 2006 (15.05.06) with payment of fees as a large entity. Applicant of the captioned application is entitled to small entity status. The application was filed with Check No. 365587 in the amount of \$900.00 for the

national fee and authorization for the Director to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435.

Attorney for Applicant hereby respectfully requests a refund of \$450.00 pursuant to 37 C.F.R. § 1.27(c), and § 1.28(a), the portion of the originally transmitted national fee in excess of that required for a small entity. Thus, an assertion of entitlement to small entity status and a request for refund are being made within three months of the payment of the above-identified fee under 37 C.F.R. § 1.28(a).

In view of the foregoing, Attorney for applicant requests that a check in the amount of \$450.00 be sent to applicant's attorneys at the address above, or credit that amount to Deposit Account No. 10-0435 using Attorney Docket No. 42505-200124. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

A.kas

Dilip A. KULKARMI Registration No. 27510

Attorney for Applicant

DAK/mje/825285v1

Indianapolis, IN 46204 317-231-7419